



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Henry Chiu et al.

Appln No.: 10/528,260

Filed: March 16, 2005

For:

Novel Compositions and Methods for

the Treatment of Immune Related

Diseases

Group Art Unit: Unknown

Examiner: Not Yet Assigned

Confirmation No: 4532

Customer No: 09157

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

September 14, 2006

Aida Guiam

TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- 1. Copy of Notification Of Defective Response;
- 2. Certificate Re: Sequence Listing, Response Under 37 CFR § 1.821(f) and

(g); and

3. Sequence Listing Diskettes (2 copies)

In the event any additional fees are due in connection with the filing of these documents, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: September 14, 2006

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vigginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO ATTY. DOCKET NO. FIRST NAMED APPLICANT P1983R1 10/528,260 Henry Chiu INTERNATIONAL APPLICATION NO. PCT/US03/29097 I.A. FILING DATE PRIORITY DATE David A Carpenter Genentech Inc 09/16/2002 09/15/2003 AUG 1 1 2006 1 Dna Way South San Francisco, CA 94080-4990 **CONFIRMATION NO. 4532** GENENTECH, INC. **371 FORMALITIES LETTER** LEGAL DEPT. *OC000000019896800*

Date Mailed: 08/04/2006

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 03/16/2005
- Copy of the International Search Report filed on 03/16/2005
- Preliminary Amendments filed on 04/25/2006
- Information Disclosure Statements filed on 03/16/2005
- Biochemical Sequence Diskette filed on 04/25/2006
- Oath or Declaration filed on 04/25/2006
- Biochemical Sequence Listing filed on 03/16/2005
- Copy of references cited in ISR filed on 03/16/2005
- U.S. Basic National Fees filed on 03/16/2005
- Priority Documents filed on 03/16/2005
- Power of Attorney filed on 04/25/2006
- Specification filed on 04/25/2006
- Claims filed on 04/25/2006
- Abstracts filed on 04/25/2006
- Drawings filed on 04/25/2006

Due Date

Applicant's response filed 04/25/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 03/31/2006 have not been completed.

 A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

DEBORAH D WILLIAMS

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PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/528,260	PCT/US03/29097	P1983R1

FORM PCT/DO/EO/916 (371 Formalities Notice)